

VT Mustang Fund

Application Form for the Purchase of Shares

THIS FORM DULY COMPLETED SHOULD BE SENT TO:

Valu-Trac Administration Services, Orton, Fochabers, Moray IV32 7QE Tel: 01343 880344, Fax: 01343 880267, Email: ppmfunds@valu-trac.com

If sent by email or fax please confirm to Valu-Trac Administration Services by telephone immediately and forward the original application form to the address above

PURCHASE APPLICATION

I/We, the undersigned, having received and read a copy of the Prospectus for the VT PPM Investment Funds ICVC ("the Company") dated 4 April 2022 (together with any addendums or supplements thereto) and the Key Investor Information Document, hereby apply for such number of Shares in the Company as may be purchased with the amounts indicated below at the subscription price determined in accordance with the Prospectus:

Class A Accumulation		\checkmark
	GBP / shares	(please delete as appropriate)
	Class A Accumulation	

DETAILS OF APPLICANT(S)			
	FIRST HOLDER		
Company/Nominee Name			
or Title			
Surname			
Forenames			
Address			
Postcode			
Country			
Telephone			
Email			
	JOINT HOLDER(S)		
Title & Full Name			
Title & Full Name			
Title & Full Name			
Mailing Address (if differe	nt from the address of the First Holder)		
Title & Full Name			
Address			
Address			

BANK DETAILS OF APPLI	CANT	
Name of Bank		
Address		
Account Name		
Account Number		
Bank Sort Code		
or Bank Swift Address		
or Bank ABA Number		
Distributions (if applicable) v	vill be paid to the bank account above	

DATA PROTECTION

For full information on how VT processes personal information and what your rights are, please see our Privacy Policy online at www.valu-trac.com.

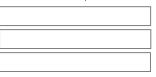
FATCA DECLARATION OF U.S. CITIZENSHIP OR U.S. RESIDENCE FOR TAX PURPOSES		
Please tick either (a) or (b) and complete as appropriate.		
a)	I confirm that I am not a U.S. citizen and/or resident in the U.S. for tax purposes.	
b)	I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows:	

CRS DECLARATION OF TAX RESIDENCY

Please indicate all countries in which you are resident for tax purposes and the associated Taxpayer Identification Number(s) in the below. Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency

Tax ID Number (UK Individuals should use their UK National Insurance Number)



PAYMENT METHOD – PAYMENT IS BY WIRE TRANSFER ONLY

Funds should be wired for value on the settlement date of this transaction which will have been agreed in advance with Valu-Trac Administration Services and which will be stated on the Contract Note issued to you by Valu-Trac Administration Services. If funds are received on any date other than this agreed settlement date they may be returned by the Bank.

AUTHORISED SIGNATORIES

This application is authorised by the following who is/are person(s) authorised to give all instructions and to take all actions on my/our behalf in connection with any Shares held by me/us in the VT Mustang Fund.

Name of Authorised Person(s)	Signature of Authorised Person(s)	Date
Any One to sign Any Two to s	sign Separate list attached	
	arate document forwarded with the original applic of the company's mandate which approves the sig	

If you have any questions please contact Valu-Trac Administration Services on 01343 880344 or ppmfunds@valu-trac.com.

FINANCIAL ADVISER DECLARATION (if applicable)

I acknowledge that it is my sole responsibility to evaluate all of the product information provided to me and, where I have provided advice and made a personal recommendation to the investor(s) in accordance with the FCA Handbook, I confirm that I have the requisite knowledge and experience as to be deemed competent and capable of assessing the suitability of this product in relation to my client's circumstances and investment objectives.

I confirm that I have assessed the capability of my client to understand and evaluate the risks and merits of this product and have determined that the product is a suitable investment for my client.

I declare that this Application Form has been completed to the best of my knowledge and belief and I have fully disclosed any adviser charge, if applicable, to the investor(s). I understand that any adviser charge being facilitated will be paid after the start date of the Plan subject to a fully completed Terms of Business agreement being in place.

I confirm that I have retained documentary evidence for all parties relevant to this application that meets or exceeds the standards set out in the JMLSG guidance. I have seen all original documents and those requiring a signature have been signed. I acknowledge that Valu-Trac Administration Services will rely upon this confirmation for the purposes of Regulation 17 of The Money Laundering Regulations 2017 and that an Identity Verification Certificate (IDVC) and relevant supporting documents will be provided on request.

I confirm that I have determined that my client has satisfied all the relevant requirements to be accepted as an investor into this product.

Company name	
Adviser name	
Address or adviser company stamp	
Postcode	
Adviser signature	
Contact number	
Email	
FCA number	

ANTI-MONEY LAUNDERING REQUIREMENTS

PLEASE PROVIDE THE FOLLOWING INFORMATION TO VALU-TRAC ADMINISTRATION SERVICES

CORPORATE ENTITY

Original or certified copy of certificate of incorporation showing existence and legality of company; Certified copy of Memorandum and Articles of Association; List of directors names, occupations, residential and business addresses and dates of birth; Certified copy of authorised signatory list, including specimen signatures; Certified minutes (resolution) or other properly authorised mandate authorising the investment to be made;

AND For a private company, please also provide:

<u>For at least two directors</u>: (unless the company has only one director) Certified* copy of passport including a clear reproduction of the photograph of the individual concerned; <u>AND</u> Two of the following: Original utility bill (not older than 3 months) Original bank statement (not older than 3 months)

Original of any other documentation issued by a government agency, showing the residential address

AND

List of the names and addresses of shareholders holding more than 10% or more of the issued share capital of the company.

TRUSTS

Relevant extract of the Trust Deed which shows the power to invest; Certified copy of authorised signatory list of the Trustee, including specimen signatures; Certified minute (resolution) or other properly authorised mandate authorising the investment to be made;

AND For a private trust, please also provide:

Confirmation from the Trustee of the identity of the settlor and the beneficiaries, by satisfying for each party the requirements as set out under 'Individuals' below.

INDIVIDUALS

Certified* copy of passport or drivers' licence;

Two original/certified* true copies of utility bills (not older than 3 months and with the same address as that on the application form).

Please note that for joint applicants, documentation in respect of each applicant is required.

DESIGNATED BODY WITHIN A FINANCIAL ACTION TASK FORCE JURISDICTION

Written confirmation on your headed paper that you are a designated body; The name of the relevant regulatory authority by which you are regulated.

* Your document must be certified by a professional person or someone of good standing in your community. For instance, you could ask a FCA-registered individual, a lawyer or solicitor, a chartered accountant, a bank official, a teacher, a doctor, a dentist, or a nurse. They cannot be a family member, living at the same address or in a relationship with you. They also cannot be a trainee in their profession. The certifier must:

- write "Certified by me to be a true copy of the original seen by me" on the document
- sign and date the document
- print their name under their signature
- add their occupation and address and telephone number